

太平洋基業證券有限公司

PACIFIC FOUNDATION SECURITIES LTD.

現金客戶合約 Cash Client's Agreement

To: PACIFIC FOUNDATION SECURITIES LTD.

致: 太平洋基業證券有限公司

香港中環皇后大道中十六至十八號新世界大廈二座十一樓

電話: 2877 3188 圖文傳真: 2877 2088

11/F New World Tower II,

16-18 Queen's Road Central, Hong Kong.

TEL: 2877 3188 FAX: 2877 2088

監察委員會檔案編號(CE NUMBER): AAE 696

(registered with the Securities and Futures Commission ("SFC") as a securities dealer and a participant of Hong Kong Exchanges and Clearing Limited (HKEx).

(為證券及期貨事務監察委員會(「證監會」)註冊的證券商以及香港交易及結算所有限公司(「港交所」)參與者)。

I/We _____ request you to operate a cash securities trading account (the "Account") for me/us on the following terms and conditions: -

本人/吾等(請填寫姓名/名稱)_____ 茲要求 閣下根據下列條款及條件為本人/吾等 運作一個現金證券買賣戶口(「戶口」):

1 The Account

戶口

- 1.1 I/We confirm that the information provided in the Account Opening Information Form is complete and accurate. I/We will inform you of any changes to that information. You are authorized to conduct credit enquiries on me/us to verify the information provided.

本人/吾等確認「開戶資料表格」所載資料均屬完整及正確。倘該等資料有任何變更,本人/吾等將會通知 閣下。

本人/吾等特此授權 閣下對本人/吾等的信用進行查詢,以核實上述表格所載資料。

- 1.2 You will keep information relating to my/our Account confidential, but may provide any such information to HKEx and the SFC to comply with their requirements or requests for information.

閣下將會對本人/吾等戶口的有關資料予以保密,但 閣下可以根據港交所及證監會的規定或應其要求,將該等資料提供予聯交所及證監會。

2 Laws and rules

法例及規則

All transactions in securities which you effect on my/our instructions ("Transactions") shall be effected in accordance with all laws, rules and regulatory directions applying to you. This includes the rules of the Exchange and of the Hong Kong Securities Clearing Company Limited (the "Clearing House"). All actions taken by you in accordance with such laws, rules and directions shall be binding on me/us.

閣下按本人/吾等的指示而進行的一切證券交易(「交易」,須根據適用於 閣下的一切法例、規則和監管指示的規定而進行。這方面的規定包括聯交所及香港中央結算有限公司(「香港結算」)的規則。 閣下根據該等法例、規則及指示而採取的所有行動均對本人/吾等具有約束力。

3 Transactions

交易

- 3.1 You will act as my/our agent in effecting Transactions unless you indicate (in the contract note for the relevant Transaction or otherwise) that you are acting as principal.

除 閣下(在有關交易的成交單或其他合約單據內)註明以自己本身名義進行交易外, 閣下將以本人/吾等的代理人身份進行交易。

- 3.2 I/We will notify you when a sale order relates to securities which I/we do not own i.e. involves short selling.
倘沽盤是有關非由本人/吾等擁有證券，即涉及賣空交易，本人/吾等將會通知閣下。
- 3.3 On all Transactions, I/we will pay your commissions and charges, as notified to me/us, as well as applicable levies imposed by HKEx, and all applicable stamp duties. You may deduct such commissions, charges, levies and duties from the Account.
本人/吾等會就所有交易支付閣下通知本人/吾等的佣金和收費，繳付港交所徵收的適用徵費，並繳納所有有關的印花稅。閣下可以從戶口中扣除該等佣金、收費、徵費及稅項。
- 3.4 Unless otherwise agreed, in respect of each Transaction, unless you are already holding cash or securities on my/our behalf to settle the Transaction, I/we will
就每一宗交易，除另有協議外或除非閣下已代本人/吾等持有現金或證券供交易交收之用，否則本人/吾等將會在閣下就該項交易通知本人/吾等的期限之前
- pay you cleared funds or deliver to you securities in deliverable form or
向閣下交付可即時動用的資金或可以交付的證券，或
 - otherwise ensure that you have received such funds or securities
以其他方式確保閣下收到此等資金或證券。
- by such time as you have notified me/us in relation to that Transaction. If I/we fail to do so, you may
倘本人/吾等未能這樣做，閣下可以
- in the case of a purchase Transaction, sell the purchased securities and
(如屬買入交易)出售買入的證券；及
 - in the case of a sale Transaction, borrow and/or purchase securities in order to settle the Transaction.
(如屬賣出交易)借入及/或買入證券以進行交易的交收。
- 3.5 I/We will be responsible to you for any losses and expenses resulting from my/our settlement failures.
本人/吾等將會負擔閣下因本人/吾等未能進行交收而引起的任何損失及開支。
- 3.6 I/We agree to pay interest on all overdue balances (including interest arising after a judgment debt is obtained against me /us) at such rates and on such other terms as you have notified me/us from time to time.
本人/吾等同意所有逾期未付款項(包括對本人/吾等裁定的欠付債務所引起的利息)，按閣下不時通知本人/吾等的利率及其他條款支付利息。
- 3.7 In the case of a purchase Transaction, if the selling broker fails to deliver on the settlement date and you have to purchase securities to settle the Transaction, I/we shall not be responsible to you for the costs of such purchase.
就買入交易而言，倘賣方經紀未能於交收日內交付證券，導致閣下須買入證券進行交收，本人/吾等毋須為買入該等證券的費用向閣下負責。

4. Safekeeping of securities **證券的保管**

- 4.1 Any securities which are held by you for safekeeping may, at your discretion
由閣下寄存妥為保管的任何證券，閣下可以酌情決定：
- (in the case of registrable securities) be registered in my/our name or in the name of your nominee; or
(如屬可註冊證券)以本人/吾等的名義或以閣下的代理人名義註冊；或
 - be deposited in safe custody in a designated account with your bankers or with any other institution which provides facilities for the safe custody of documents. In the case of securities in Hong Kong, such institution shall be acceptable to the SFC as a provider of safe custody service.
存放於閣下的往來銀行或提供文件保管設施的任何其他機構妥為保管。如屬香港的證券，該機構應為證監會認可的提供保管服務機構。
- 4.2 Where securities are not registered in my/our name, any dividends or other benefits arising in respect of such securities shall, when received by you, be credited to my/our Account or paid or transferred to me/us, as agreed with you. Where the securities

form part of a larger holding of identical securities held for your clients, I/we shall be entitled to the same share of the benefits arising on the holding as my/our share of the total holding.

倘證券未以本人/吾等的名義註冊，閣下於收到該等證券所獲派的任何股息或其他利益時，須按本人/吾等與閣下的協議記入本人/吾等的戶口或支付予或轉賬予本人/吾等。倘該等證券屬於閣下代客戶持有較大數量的同一證券的一部份，本人/吾等有權按本人/吾等所佔的比例獲得該等證券的利益。

4.3 You do not have my/our written authority under section 81(3) of the Securities Ordinance to: -

本人 / 吾等並無根據《證券條例》第 81(3)條以書面授權閣下：

- deposit any of my/our securities with a banking institution as collateral for an advance or loan made to you, or with the Clearing House as collateral for the discharge of your obligations under the clearing system
將本人/吾等的任何證券存放在銀行業機構，作為閣下所獲墊支或貸款的抵押品，或者存放在中央結算公司，作為履行閣下在結算系統下之責任的抵押品
- borrow or lend any of my/our securities
借貸本人/吾等的任何證券
- otherwise part with possession (except to me/us or on my/our instructions) of any of my/our securities for any purpose.
基於任何目的以其他方式放棄本人/吾等的任何證券之持有權(交由本人/吾等持有或按本人/吾等的指示放棄持有權除外)。

5 Cash held for me/us

代本人/吾等保管的現金

Any cash held for me/us, other than cash received by you in respect of Transactions and which is on-paid for settlement purposes or to me/us, shall be credited to a client trust account maintained with a licensed bank as required by applicable laws from time to time.

代本人/吾等保管的現金須依照適用法律不時的規定，存放於一家持牌銀行所開立的一個客戶信託賬戶內(此等現金不包括閣下就交易取得，而且須為交收而轉付予本人/吾等的現金)。

6 Risk Disclosure Statement

風險披露聲明書

I/We acknowledge that the price of securities can and does fluctuate, and any individual security may experience upwards or downwards movements, and may even become valueless. There is an inherent risk that losses may be incurred rather than profit made as a result of buying and selling securities. I/We also acknowledge that there may be risks in leaving securities in your safekeeping. For example, if you are holding my/our securities and you become insolvent, I/we may experience significant delay in recovering the securities. These are risks that I/we are prepared to accept.

本人/吾等知道證券價格可能及必定會波動，任何個別證券的價格皆可上升或下跌，甚至可能變成毫無價值。買賣證券不一定獲利，而且存在著可能損失的風險。本人/吾等也知道將證券交給閣下保管可能存在風險。例如當閣下持有本人/吾等的證券而閣下無力償債時，本人/吾等取回證券的時間可能受到嚴重阻延。本人/吾等願意承擔此等風險。

7 General

一般規定

7.1 All securities held for my/our Account shall be subject to a general lien in your favour, for the performance of my/our obligations to you arising in respect of dealing in securities for me/us.

所有本人/吾等戶口內的證券均受制於閣下的全面留置權，以確保本人/吾等履行對閣下代本人/吾等買賣證券

7.4 I/We confirm that I/we have read and agree to the terms of this Agreement, which have been explained to me/us in a language that I/we understand.
本人/吾等確認本人/吾等已詳閱並同意本協議書的條款，而且該等條款已經以本人/吾等明白的語言向本人解釋。

7.5 This Agreement is governed by, and may be enforced in accordance with, the laws of the Special Administrative Region of Hong Kong.
本協議書受香港特別行政區法律管轄，並且可以根據香港特別行政區法律執行。

8. Product Recommendation and Own Judgment 產品建議及獨立判斷

8.1 If you solicit the sale of or recommend any financial product to me / us, the financial product must be reasonably suitable for me / us having regard to my / our financial situation, investment experience and investment objectives. No other provision of this Agreement or any other document you may ask me / us to sign and no statement you may ask me / us to make derogates from this clause.

假如閣下向本人/吾等招攬銷售或建議任何金融產品，該金融產品必須是閣下經考慮本人/吾等的財政狀況、投資經驗及投資目標後而認為合理地適合本人/吾等的。本協議的其他條文或任何其他閣下可能要求本人/吾等簽署的文件及閣下可能要求本人/吾等作出的聲明概不會減損本條款的效力。

8.2 “Financial product” means any securities, futures contracts or leveraged foreign exchange contracts as defined under the Securities & Futures Ordinance. Regarding “leveraged foreign exchange contracts”, it is only applicable to those traded by persons licensed for Type 3 regulated activity.

「金融產品」指《證券及期貨條例》所界定的任何證券、期貨合約或槓桿式外匯交易合約。就「槓桿式外匯交易合約」而言，其只適用於由獲得發牌經營第3類受規管活動的人所買賣的該等槓桿式外匯交易合約。

8.3 I/We shall make my / our own independent judgment and decision with respect to each Instruction. Without prejudice to clause [first clause immediately after the heading “Product Recommendation and Own Judgment”] above, you shall be under no liability whatsoever in respect of any information or comment given by any of its officers (including directors), employees or agents irrespective of whether or not such information or comment is given at my / our request.

本人/吾等須按其獨立判斷及決定作出各個指示。在不影響上述條款[「產品推薦和自己的判斷」標題之後的第一項條款]項的情況下，閣下不會就閣下任何行政人員（包括董事）、僱員或代理所提供之任何資料或論述承擔任何責任，不論該等資料或建議是否由本人/吾等所要求下作出。

SIGNED by [Name of Client]

由[客戶名稱/姓名]

簽署

)

)

in the presence of

見證人

)

Authorized Signature/Business Chop

授權簽名/公司印章

[witness name

address and

occupation]

[見證人姓名、地址及職業]

[Witness Signature]

[見證人簽名]

ACCOUNT OPENING INFORMATION
(PERSONAL / SOLE PROPRIETOR / PARTNERSHIP / CORPORATE)
開戶資料 (私人 / 獨資經營 / 合夥 / 機構)

CASH / MARGIN ACCOUNT NO. 現金 / 保證金戶口號碼		DATE OPENED 開戶日期	
NAME OF ACCOUNT 戶口姓名:			
English 英文:		Chinese 中文:	
ADDRESS 地址:			
HOME TEL. NO. 住宅電話號碼:	OFFICE TEL. NO. 辦公室電話號碼:	MOBILE PHONE NO.: 手提電話號碼:	
CORRESPONDENCE ADDRESS 通訊地址:			
BANK NAME 銀行名稱:			
BANK A/C NAME 銀行帳戶名稱:			
BANK A/C NO. 銀行帳戶號碼:			
OCCUPATION / NATURE OF BUSINESS 職業 / 業務性質			
BUSINESS REGISTRATION NO. 商業登記證號碼:			
CERTIFICATE OF INCORPORATION NO AND COUNTRY OF INCORPORATION 公司註冊證書號碼及註冊國家			
SPECIMEN AUTHORISED SIGNATURE 授權簽名式樣			
NAME 姓名: HK I.D. CARD NO. / PASSPORT NO. 香港身份證號碼 / 護照號碼:		SIGNATURES 簽名:	
NAME 姓名: HK I.D. CARD NO. / PASSPORT NO. 香港身份證號碼 / 護照號碼:		SIGNATURES 簽名:	
SPECIMEN OF BUSINESS CHOP / SEAL 業務印章式樣:			
NOTE 1: THE ACCOUNT CAN BE OPERATED ON THE INSTRUCTIONS OF ANY TWO OR MORE SIGNATURES / ANY ONE SIGNATURES * (IF IN JOINT NAMES) 註一: 戶口可根據其中兩式或以上 / 任何一式 * (聯名戶口用) 簽名式樣指示下運作。 NOTE 2: THE ATTACHED GENERAL TERMS AND CONDITIONS TO THE OPERATION OF THIS ACCOUNT MUST BE COMPLETED AND SIGNED BY THE CLIENT 註二: 客戶必須填妥及簽署本表格所附之戶口運作一般條款。 * DELETE IF INAPPLICABLE 刪除不適用者			
*** FOR OFFICIAL USE ONLY 只供本行使用 ***			
INTRODUCED BY 介紹人:		DOCUMENTATION CHECKED BY 文件查核:	
TRADING LIMIT 交易限額: INTERNET TRADING LIMIT 網上交易限額:		APPROVED BY 批准:	
NAME OF ACCOUNT EXECUTIVE 客戶主任姓名:		HOW LONG KNOWN TO ACCOUNT EXECUTIVE 與客戶主任相識年期:	
BANK AND CREDIT REFERENCES OBTAINED : 已獲銀行及信貸參考		YES / NO 是 / 否	

PACIFIC FOUNDATION SECURITIES LIMITED (the “Company”)
 太平基業證券有限公司 (「本公司」)
 CUSTOMER DUE DILIGENCE (CDD) – Individual/Joint
 客戶盡職審查 – 個人/聯名

Client Information 客戶資料 ¹		
Client Name: 客戶姓名:	English 英文:	Chinese 中文:
Client/Account Number: 客戶號碼:		
Account Executive: 客戶經理:		

Personal Information 個人資料 ²	
Current Residential Address: 現時住址: ³	DO NOT USE: (i) PO Box or C/O address (ii) Address of a third party (iii) Address at a Financial Institution 請勿使用: (1) 郵政信箱或代收地址 (2) 第三方地址 (3) 金融 / 財務機構的地址
Education: 教育程度:	☐ Primary School or below 小學或以下 ☐ Secondary School 中學 ☐ Post Secondary to Bachelor Degree 大專至學士 ☐ Above Bachelor Degree 學士以上
Residential Phone: 住宅電話:	(Country Code國家號碼 – Area Code地區號碼) ()
Business Phone: 公司/業務電話:	(Country Code國家號碼 – Area Code地區號碼) ()
Mobile Phone: 手提電話:	(Country Code國家號碼 – Area Code地區號碼) ()
Email Address 電郵地址:	
Marital Status 婚姻狀況	☐ Married已婚 ☐ Single單身 ☐ Not to Disclose不公開 Job of the Spouse: 配偶的工作: ☐ Not to Disclose不公開 ☐ _____ Employment Nature就業性質: ☐ Not to Disclose不公開 ☐ _____

Employment Background 工作背景	
Employment Status: 就業狀況:	☐ Self Employed 自僱 ☐ Full-time 全職 ☐ Part-time 兼職 ☐ Not Employed 非在職 ☐ Retired 退休
Employer's Name: 僱主名稱:	
Listing in HKEX 在香港交易所上市:	☐ No 不是 ☐ Yes 是 Stock Code 股票編號 _____
Nature of Business 業務性質:	
Position Grade 職級:	☐ Director 董事 ☐ Senior Manager 高級經理 ☐ Others 其他
Position / Title 職位/職銜:	
Year(s) Employed / of Business: 任職/業務年期:	
Business Address: 公司/業務地址:	

¹ For joint account, each of the account holders must complete and sign a separate Customer Due Diligence Form

對於聯合賬戶，每個賬戶持有人必須獨立完成並簽署此客戶盡職審查表。

² The company will update the client database based on the information provided by the client on the latest Customer Due Diligence Form.

本公司將根據客戶在最新的客戶盡職審查表上提供的資料更新客戶數據庫。

³ Please provide proof of address issued within

Politically Exposed Persons 政治人物

* Politically Exposed Person ("PEP") means:

政治人物指：

- (a) an individual who is or has been entrusted with a prominent public function (including a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a state-owned corporation or an important political party official, but not including any middle-ranking or more junior officials);
擔任或曾擔任重要公職的個人 (包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事，但不包括任何中級或更低級官員)；
- (b) a spouse, partner❖, child or parent of an individual falling within paragraph (a), or a spouse or partner ❖ of a child of such an individual; or
(a) 段所指的個人的配偶、伴侶❖、子女或父母，或該名個人的子女的配偶或伴侶❖；或
- (c) a "close associate" ❖ of an individual falling within paragraph (a).
與(a)段所指的個人關係密切的人 ❖。

❖ "Partner": a person is a partner of an individual if the person is considered by the law of the place where the person and the individual live together as equivalent to a spouse of the individual.

「伴侶」：如根據某人與某名個人共同生活的地方的法律，該人被視為等同於該名個人的配偶，該人即屬該名個人的伴侶。

❖ "Close associate": a person is a close associate of an individual if the person is:-

「關係密切的人」：如某人符合以下說明，該人即屬與某名個人(首述個人)關係密切的人：

- (a) an individual who has close business relations with the first-mentioned individual, including an individual who is a beneficial owner of a legal person or trust of which the first-mentioned individual is also a beneficial owner; or
該人是與首述個人有密切業務關係的個人 (包括在首述個人屬某法人或信託的實益擁有人的情況下，同樣屬該法人或信託的實益擁有人的個人)；或
- (b) an individual who is the beneficial owner of a legal person or trust that is set up for the benefit of the first-mentioned individual.
該人是屬某法人或信託的實益擁有人的個人，而該法人或信託是為首述個人的利益而成立的。

☐ The Client declares and confirms that he is not a PEP* 客戶聲明及確認其不是政治人物*。

☐ The Client declares and confirms that he is a PEP*, and details are provided below: 客戶聲明及確認其乃政治人物*，詳情如下：

Name of person entrusted with public function 擔任公職人士之姓名: _____

Relationship with Client 與客戶關係:

☐ Self 本人 ☐ Others 其他 (please specify 請註明): _____

Position 職位: _____ Name of the public body 公共機構名字: _____

Country 國家: _____ Year of Service 服務年期: _____

Relationship and Beneficial Ownership 關係及實益擁有權

Identity Declaration 身份聲明

1 Does the Client have any relationship with the director(s) or employee(s) of the Company or associated companies?

Client Declaration 客戶聲明

I hereby declare the information above is true and accurate and Pacific Foundation Securities Ltd. is entitled to rely fully on such information and representation for all purposes, unless Pacific Foundation Securities Ltd. receives notice in writing of any change thereafter.

本公司特此聲明上述信息真實準確，除非以書面形式通知太平基業證券有限公司其後的任何變更，否則太平基業證券有限公司有權充分依賴此類信息和代表。

Client Signature 客戶簽署

_____(S.V.)

Name of Signer 簽名者姓名:

Date 日期:

For Internal Use Only

Client Risk Category Checklist 客戶風險級別對照表

Risk Level 風險水平	LOW 低	NORMAL 一般	HIGH 高
風險評估 Risk Assessment	☐ Financial institutions ("FI")* 金融機構 ("FI") *	☐ Individual natural persons 獨立自然人	☐ Non face-to-face account opening (e.g. account opening via online, postal or telephone channels) 並非在面對面的情況下開戶 (例如: 於網上、郵遞或電話等渠道開戶)
	☐ Listed companies 上市公司	☐ Limited private companies* (with no PEPs as one of the director(s), shareholder(s) or beneficial owner(s)) 私人有限公司 * (沒有董事、股東或實益擁有人為政治人物)	☐ Politically Exposed Persons ("PEPs") and their close associates/Client matches with the watch list provided by 3rd party service provider/Client is subject to adverse information on internet search/Client's origin of wealth or ownership cannot be easily verified 政治人物 ("PEPs") 及其關係密切的人/與第三方服務提供者所提供的監察名單吻合的客戶/客戶網上資料負面/客戶財富來源或擁有權不輕易核實
	☐ Government and public body 政府及公共機構	☐ Partnerships* 合夥 *	☐ Companies with director(s) or shareholder(s) or beneficial owner(s) as PEPs, whose source of wealth and funds is unclear or has a unduly complex structure 機構內有政治人物為董事、股東或實益擁有人，而他們的資產和資金來源並不清晰；有一個不恰當地複雜的架構
	☐ Solicitor's client accounts 律師的當事人帳戶	☐ Sole proprietorships, associations, clubs, societies, co-operative and provident societies (with no PEP as the beneficial owner) 獨資企業、會社、會所、社團、合作社和供積金社團 (沒有實益擁有人為政治人物)	☐ Cash intensive business such as casinos, sauna, military sector, money changer and remittance agencies 現金密集型業務包括賭場、桑拿、軍事部門、兌錢商和貨幣匯兌業*
		☐ Trust accounts* 信託帳戶 *	☐ Companies whose place of incorporation is in countries with insufficient FATF regulations after internal country risk assessment 經本公司的國家風險評估後，機構所成立的國家被界定為沒有足夠特別組織管制法規的國家
Classification Result: 分類結果:	☐ LOW 低	☐ MEDIUM 中	☐ HIGH 高 Please fill in Enhanced Customer Due Diligence Form 請填寫附加盡職調查

Additional Information / Comments (if any) from Representative /Customer Service Officer:

客戶主任/客戶服務主任的其他信息/意見 (如有):



太平基業證券有限公司 PACIFIC FOUNDATION SECURITIES LIMITED

Participant of The Stock Exchange of Hong Kong Ltd. (Broker No. 2160-2, 2167-9)
香港聯合交易所有限公司參與者 (編號2160-2, 2167-9)
證監會檔案編號(CE No.): AAE696

11/F, New World Tower II,
16-18 Queen's Road Central, Hong Kong
香港中環皇后大道中十六至十八號
新世界大廈二座十一樓
Telephone 電話 : (852) 2877 3188
Fax 傳真 : (852) 2877 2088

Important Notes 重要提示

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of AEOI. The data collected may be transmitted by the reporting financial institution to the IRD for further transfer to the tax authority of another jurisdiction (CRS/AEOI purpose) or to the US Internal Revenue Service (US FATCA purpose).
這是由帳戶持有人向報告財務機構提供的自我證明表格，用於 AEOI。收集的數據可以由報告金融機構傳送到 IRD 以轉移到另一個與香港簽訂了 CRS / AEOI 信息交換協議的司法管轄區的稅務機關或美國國家稅務局以滿足美國 FATCA 法規要求。
- An account holder should report all changes in its tax residency status to the reporting financial institution.
帳戶持有人應將其稅務居住身份的所有更改適時通報給本機構。
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by the reporting financial institution to the IRD.
表格的所有部分必須填寫(除非不適用或另有規定)。如果提供的空間不足，請在其他附加工作表上繼續。在欄/部標有星號(*)的項目為申報財務機構須向 IRD 申報的資料。

Part 1: Identification of Individual Account Holder 第 1 部：個人賬戶持有人的身分識別資料

(For joint or multiple account holders, complete a separate form for each individual account holder.

對於聯名賬戶或多人聯名賬戶，每名個人賬戶持有人須分別填寫一份表格。)

1 Name of Account Holder 賬戶持有人的姓名	Title 稱謂： ☐ Mr 先生 ☐ Mrs 太太 ☐ Miss 小姐 ☐ Ms 女士 ☐ Other 其他 _____		
	Last Name or Surname * 姓氏 *	First or Given Name * 名字*	Middle Name(s) 中間名
	Business Name (Sole Traders Only) 公司名稱 (只適用於獨資業務)		
2 Hong Kong Identity Card or Passport Number 香港身分證或護照號碼			
3 Current Residence			



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Part 2: FATCA Declaration 第 2 部：FATCA 聲明

Please check "✓" Yes or No for each of the following questions 請在是或否的方格內加上 "✓" 號以回答以下問題：		
	Yes 是	No 否
(1) Is account holder a US Resident 賬戶持有人是否美國居民?		
(2) Is account holder a US Citizen 賬戶持有人是否美國公民?		
(3) Does account holder hold a US Permanent Resident Card (Green Card) 賬戶持有人是否持有美國永久居民身份證(綠卡)?		
If yes, please provide 如是，請提供 US TIN _____		

Part 3 第 3 部：

***Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")**

***居留司法管轄區及稅務編號或具有等同功能的識別編號 (以下簡稱「稅務編號」)**

Complete the following table indicating 提供以下資料，列明：

- (a) each jurisdiction of residence where the account holder is a resident for tax purposes; and
賬戶持有人的居留司法管轄區，亦即賬戶持有人的稅務管轄區；及
- (b) the account holder's TIN for each jurisdiction indicated. 該居留司法管轄區發給賬戶持有人的稅務編號。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (HKID).
如賬戶持有人是香港稅務居民，稅務編號是賬戶持有人的香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C 如沒有提供稅務編號，必須填寫合適的理由：

- # **Reason A** - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
理由 A - 賬戶持有人的居留司法稅務管轄區並沒有向其居民發出稅務編號。
- Reason B** - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
理由 B - 賬戶持有人不能取得稅務編號。如選取這一理由，解釋賬戶持有人不能取得稅務編號的原因。
- Reason C** - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.
理由 C - 賬戶持有人毋須提供稅務編號。居留



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Dear Client

Re: Web Statement and E-Statement

We are pleased to inform you that you can access to our website: <http://pacific.infocenter.com.hk/webstatement/client/> to enquire your daily and monthly statements via the internet. To obtain your user ID and password, please complete the following application form and fax it back to us at (852) 2877-2088 and we shall send you the login detail to your email address within 24 hours.

Should you have any queries, please don't hesitate to contact our Settlement Department at (852) 2877-3188.

Yours sincerely

Settlement Department
Pacific Foundation Securities Ltd

親愛的客戶：

網上及電郵結單

閣下可透過互聯網進入本公司之網址：<http://pacific.infocenter.com.hk/webstatement/client/> 查閱閣下之日結單及月結單。如欲獲取閣下之用戶名稱及密碼，請填妥以下**網上及電郵結單申請表**，並傳真給本公司，傳真號碼為(852)2877-2088；本公司將於 24 小時內將登入詳情電郵給閣下。

如有任何疑問，請致電(852)2877-3188 與本公司之交收部聯絡。

特此通告

太平洋基業證券有限公司
交收部

Web Statement & E-Statement Application Form (網上及電郵結單申請表)

Applicant's Full Name (申請人全名) : _____

Applicant's Account No. (申請人戶口號碼) : _____

Email Address: (電郵地址) : _____

☐ Skip daily hard copy statement (不收取郵寄日結單) ☐ Skip monthly hard copy statement (不收取郵寄月結單)

Signature (簽署) : _____

******* Please fax the application form to us at (852)2877-2088 *******

SIGNED by [Name])
簽署人 [姓名])
[for and on behalf of Client's name])
客戶代表之姓名) [Authorized Signature / Business Chop]
in the presence of:-) [授權簽名 / 公司印章]
) [Date]
) [日期]

[Witness name, address and occupation]
[見證人之姓名、地址及職業])
) [Authorized Signature / Business Chop]
) [授權簽名 / 公司印章]
) [Date]
) [日期]

ACKNOWLEDGED AND ACCEPTED BY
PACIFIC FOUNDATION SECURITIES LTD)
經由太平基業證券有限公司承認及接納) [Authorized Signature / Business Chop]
) [授權簽名 / 公司印章]
) [Date]
) [日期]

如中英文本有歧異，以英文本為準。

